



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: Confirmation No. 1632

RECEIVED

Norio KIMURA et al.

: Docket No. 2001-0660A

OCT 08 2003

Serial No. 09/864,208

: Group Art Unit 1763

TC 1700

Filed May 25, 2001

: Examiner Sylvia MacArthur

SUBSTRATE POLISHING APPARATUS
AND SUBSTRATE POLISHING METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No.

1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [X] This Information Disclosure Statement is submitted

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after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) the certification of paragraph 2 below is provided, **or**
- (2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. a full or partial English language translation submitted herewith,

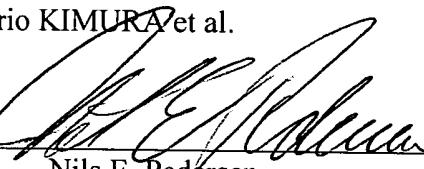
- b. a foreign patent office search report (in the English language) submitted herewith,
- c. the concise explanation contained in the specification of the present application at page,
- d. the concise explanation set forth in the attached English language abstract,
- e. the concise explanation set forth below or on a separate sheet attached to the reference:

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Norio KIMURA et al.

By



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October 6, 2003

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: October 6, 2003

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2001-0660ASERIAL NO.
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OCT 06 2003

APPLICANT
Norio KIMURA et al.FILING DATE
May 25, 2001GROUP
1763OCT 06 2003
TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

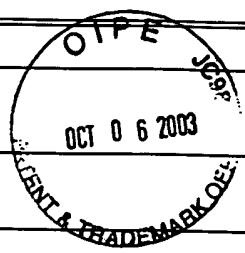
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,514,245	5/1996	Doan et al.			
	AB	5,559,428	9/1996	Li et al.			RECEIVED
	AC	5,644,221	7/1997	Li et al.			OCT 08 2003
	AD	5,659,492	8/1997	Li et al.			TC 1700
	AE	5,660,672	8/1997	Li et al.			
	AF	5,663,637	9/1997	Li et al.			
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	AI	5,770,948	6/1998	Li et al.			
	AJ	6,555,466	4/2003	Laursen et al.			
	AK	6,558,229	5/2003	Kimura et al.			
	AL	6,579,148	6/2003	Hirokawa et al.			
	AM	6,582,282	6/2003	Somekh			
	AN	6,602,724	8/2003	Redeker et al.			

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	AO	1066925A2	1/2001	EP			
	AP	02/103777	12/2002	WO			
	AQ	02/103779	12/2002	WO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	AR	
		DATE CONSIDERED

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: October 6, 2003			ATTY DOCKET NO. 2001-0660A	SERIAL NO. 09/864,208	 OCT 06 2003		
			APPLICANT Norio KIMURA et al.	FILING DATE May 25, 2001			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,897,426	4/1999	Somekh			
	AB	6,072,313	6/2000	Li et al.			RECEIVED
	AC	6,120,354	9/2000	Koos et al.			OCT 08 2003
	AD	6,162,368	12/2000	Li et al.			TC 1700
	AE	6,218,306	4/2001	Fishkin et al.			
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	AG	6,261,157	7/2001	Bajaj et al.			
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AQ						
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	AR						
EXAMINER				DATE CONSIDERED			